

Cell Death & Trophic Factors II

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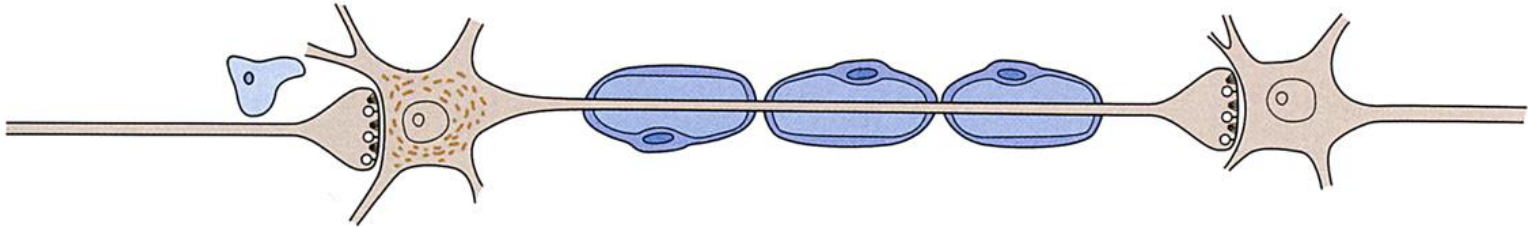
Course News

Graduate School Discussion

Wednesday, Nov 28
11:00am (right after lecture)
In Mayo 3-100

with Dr. Paul Mermelstein
(invite your friends)

Remember?



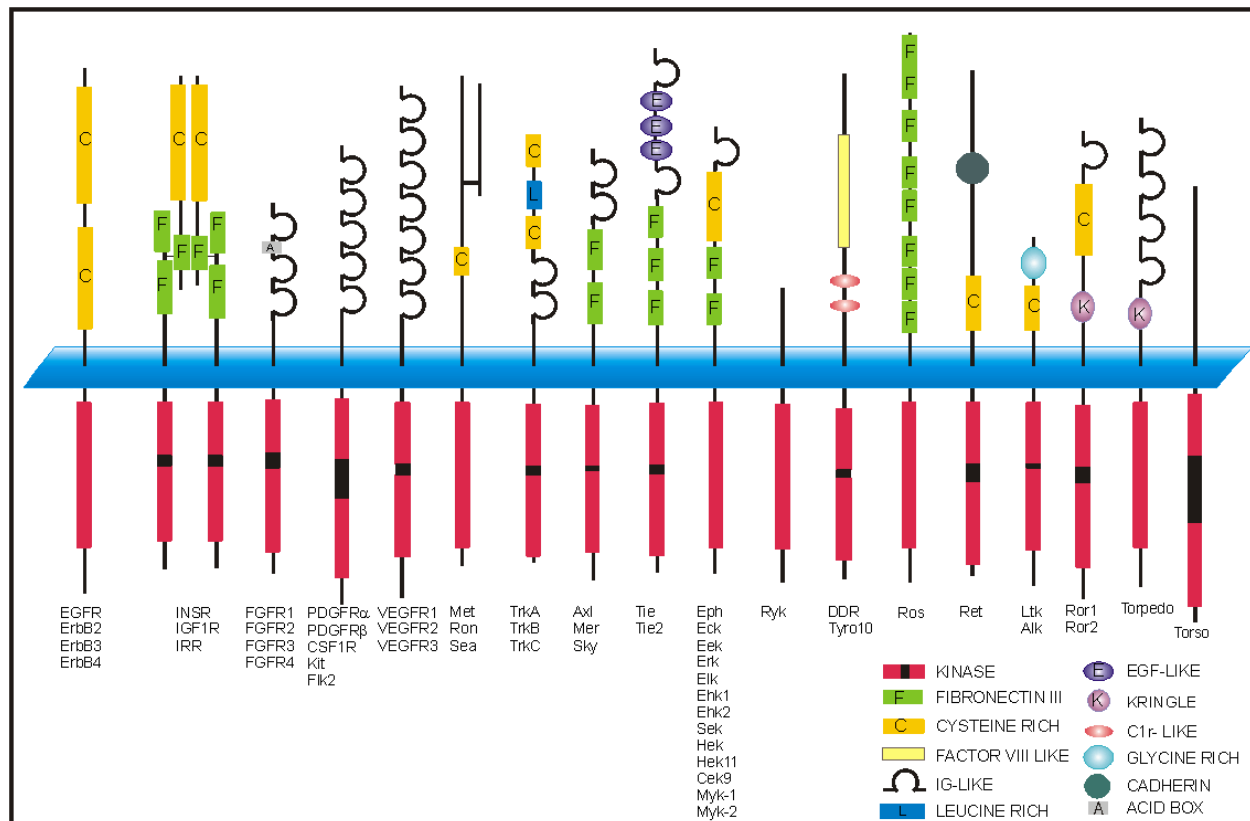
Neurotrophins are cell survival factors that neurons get from their target cells!

There is a family of neurotrophins, and different types of neurons are sensitive to different neurotrophins!

Cells that receive too little of the appropriate neurotrophin die!

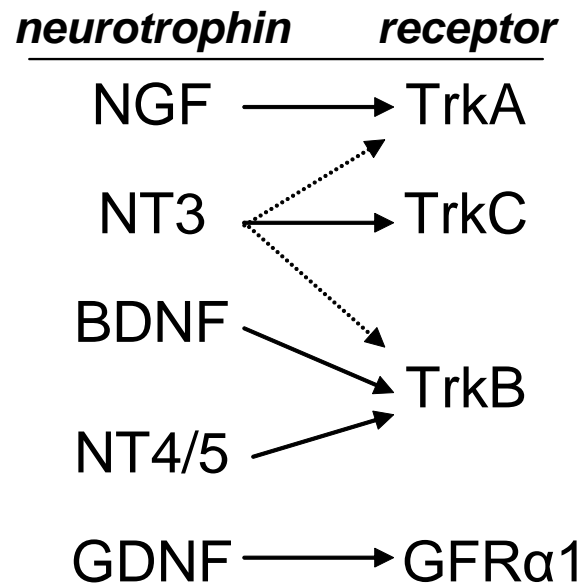
High Affinity Neurotrophin Receptors

- A neurotrophin binds with high affinity and activates a specific cell surface receptor.
- TrkA is the high affinity NGF receptor and is expressed by cells that respond to NGF.
- Trk A is member of a family of neurotrophin receptors.



High Affinity Neurotrophin Receptors

- Each neurotrophin binds with high affinity to one receptor.
- The ability of a neuron to respond to a neurotrophin depends on the receptor it expresses.



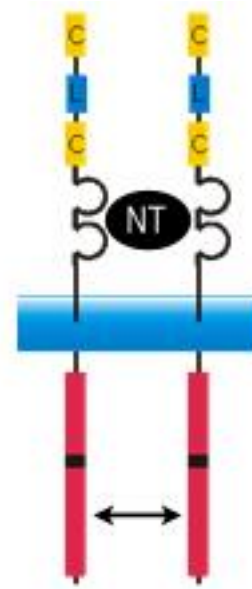
High Affinity Neurotrophin Receptors

- Different neurons express different receptors and respond to different neurotrophins.

| <u>receptor</u> | <u>ligand</u> | <u>DRG neuron type</u> |
|-----------------|---------------|-------------------------|
| TrkA | NGF | nociceptive neurons |
| TrkB | BDNF, NT3/4 | mechanoreceptor neurons |
| TrkC | NT3 | proprioceptor neurons |

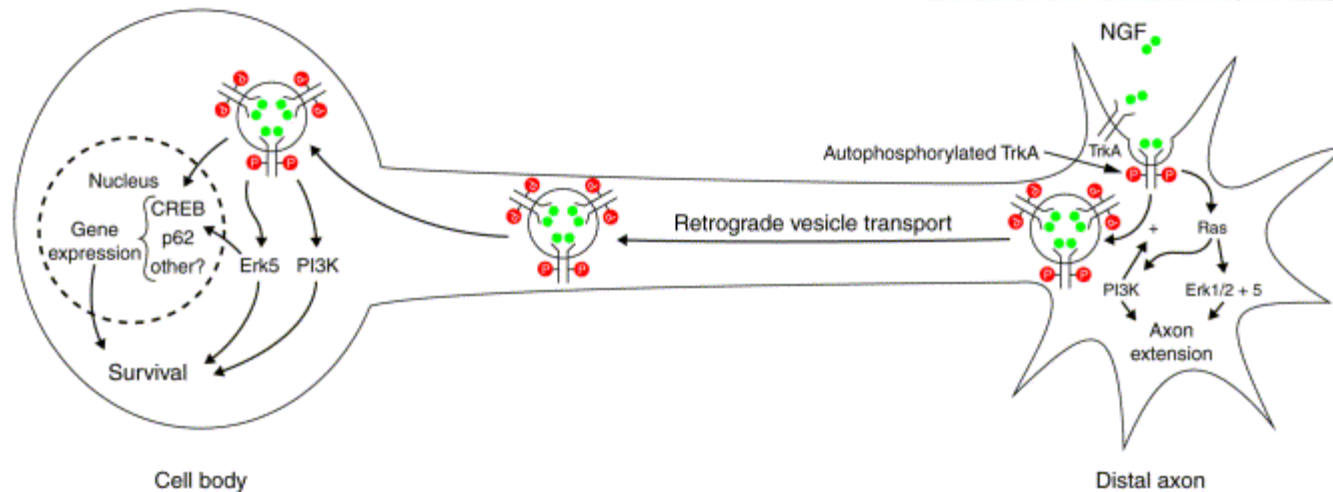
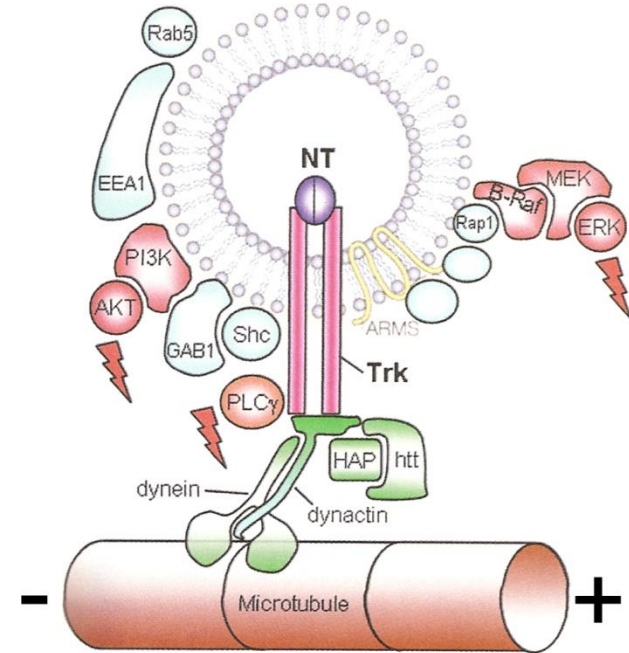
High Affinity Neurotrophin Receptors

- Trk's are receptor tyrosine kinases.
- Neurotrophin dimerize the Trk's.
- Trk dimmers autophosphorylate their cytoplasmic domains, which activates the complex.



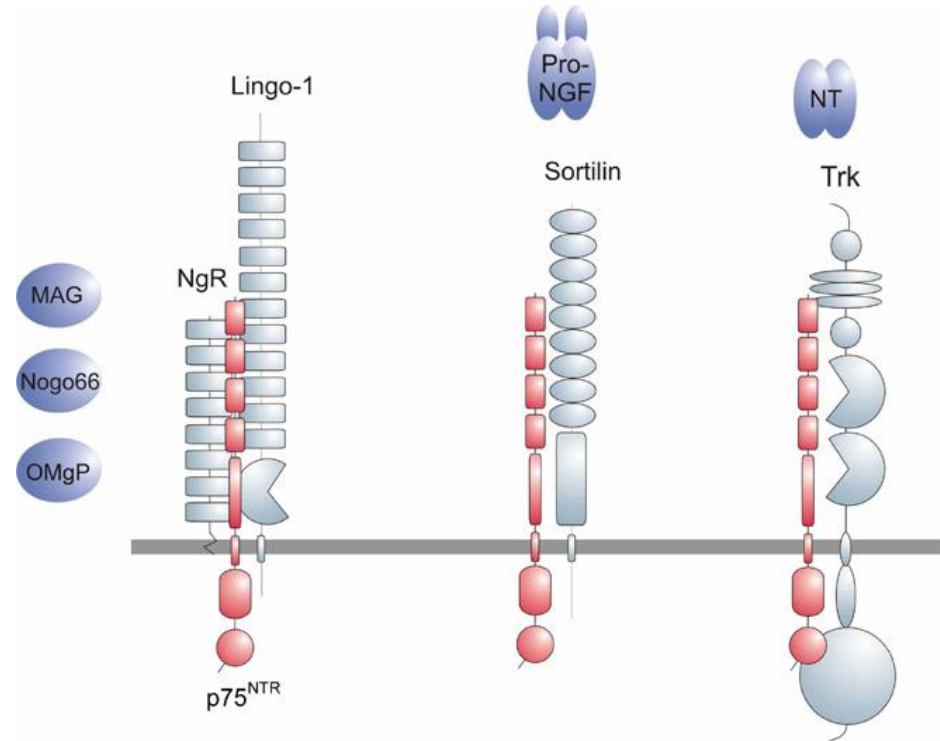
High Affinity Neurotrophin Receptors

- The activated receptors with their ligand are internalized in an endosome and transported to the soma.
- Endosomes are transported retrogradely using the motor protein, dynein, in association with microtubules.
- Activated Trk's phosphorylate numerous target proteins in the soma.



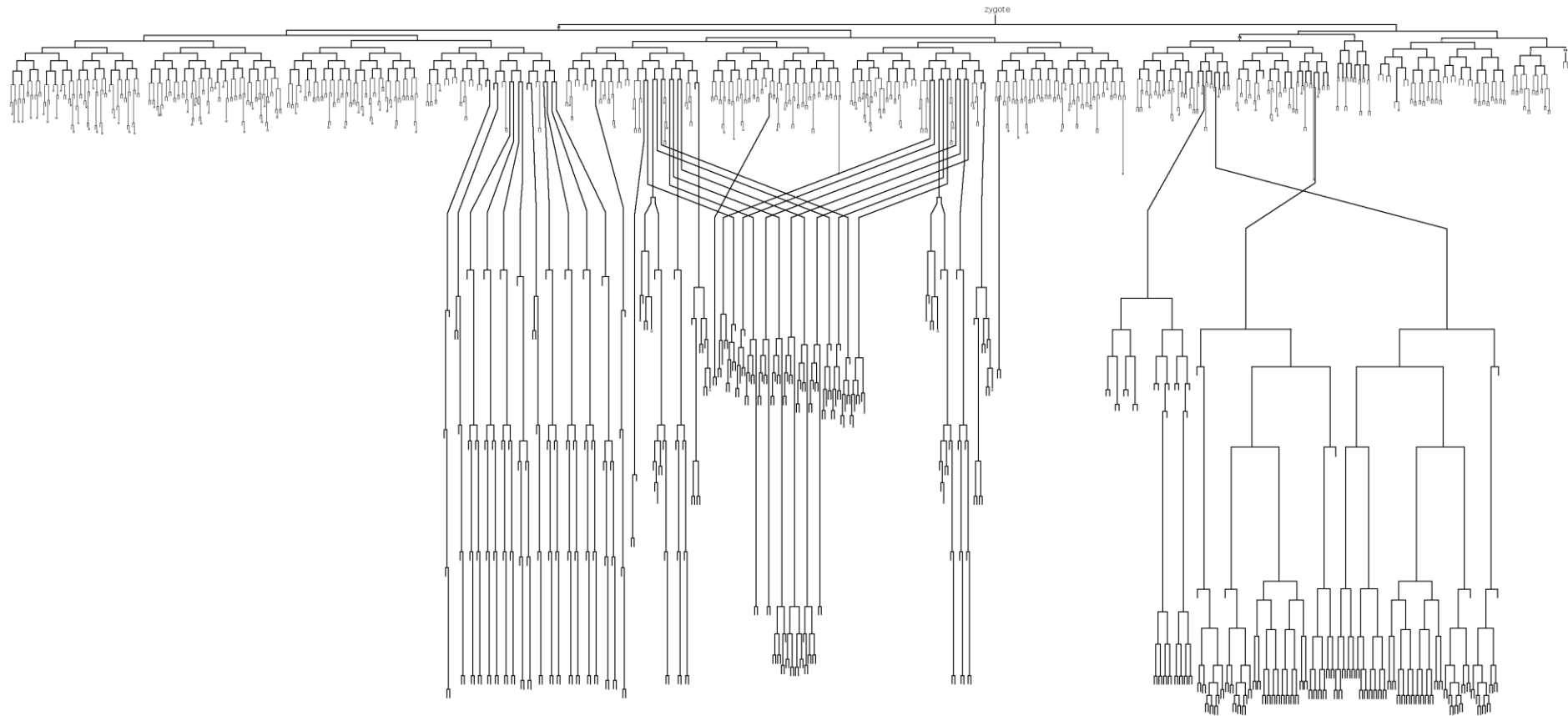
p75 Neurotrophin Receptor

- Neurons also express a low affinity neurotrophin receptor, p75^{NTR}.
- p75^{NTR} amplifies the action of the Trk.
- p75^{NTR} functions with other receptors.
- Binding of proneurotrophin to p75^{NTR} in association with the sortilin receptor can result in cell death.



Molecular Regulation of Developmental Cell Death in *C. elegans*

- Of the 1090 somatic cells generated, 131 undergo precisely timed, stereotypic death.



Molecular Regulation of Developmental Cell Death in *C. elegans*

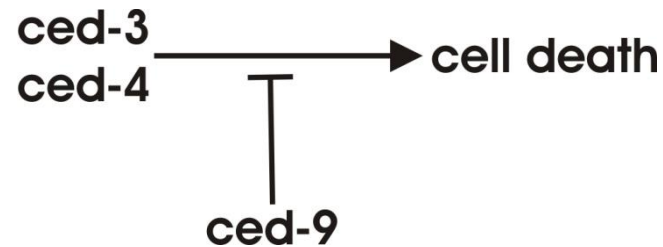
H. Robert Horvitz looked for mutations that would alter cell death in *C. elegans*:

- Cell death requires expression of two genes, *ced-3* and *ced-4*.
- In mosaic *ced-3*-null/wild type animal, only *ced-3*⁺ (i.e. wild type) cells die, suggesting that the gene acts cell autonomously.
- *ced-3* protein is a protease.



Molecular Regulation of Developmental Cell Death in *C. elegans*

- *ced-9* mutation is lethal with all cells dying, but only if *ced-3* and *ced-4* are active; therefore, *ced-9* represses the function of *ced-3* and *ced-4* in cells that survive.



Caspase

- Vertebrates have at least 10 genes similar to ced-3, the caspase family.
- Caspases are cysteine-aspartic proteases.
- Caspases cleave very specific targets as apposed to nonspecific protein degradation by many proteases.
- Caspase-3 gene can replace ced-3 in worms.
- Caspase-3 knockouts have reduced cell death in developing brain, and the brain is approximately double in size. In many cells, caspase-3 appears to be obligatory for apoptosis.

Caspase

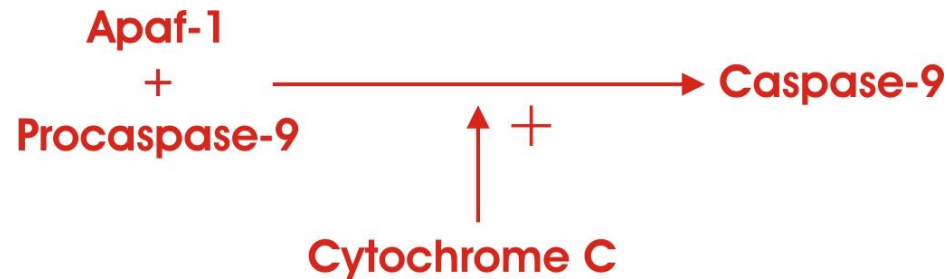
- Caspases are divided into apoptotic, pro-inflammatory, and other subfamilies. (Caspase-2, -3, -6, -7, -8, -9 & -10 are apoptotic.)
- Apoptotic caspases are subgrouped as initiators (e.g. caspase-8, -9 & -10) or executioners (e.g. caspase-3, -6 & -7).
- Initiator caspases are activated by intrinsic mechanisms (cytochrome C) or extrinsic mechanisms (death receptors).
- Initiator caspases cleave and thus activate executioners
- Executioner caspases cleave proteins that result in cell death.

Caspase

- Caspases are normally present in cells in the inactive, procaspase form. Cleavage of a procaspase is required to activate the caspase.
- Some cell types require different caspases for apoptosis. (i.e. Sympathetic neuron death following NGF-deprivation requires caspase-2 and not caspase-3.)

Caspase Activation

- Apoptosis protease-activating factor-1 (Apaf-1) is similar to ced-4 and is needed to activate certain initiator caspases.
- Apaf-1 binds procaspase-9 and cytochrome-C, which leads to active caspase-9.
- Cytochrome-C is released from mitochondria.

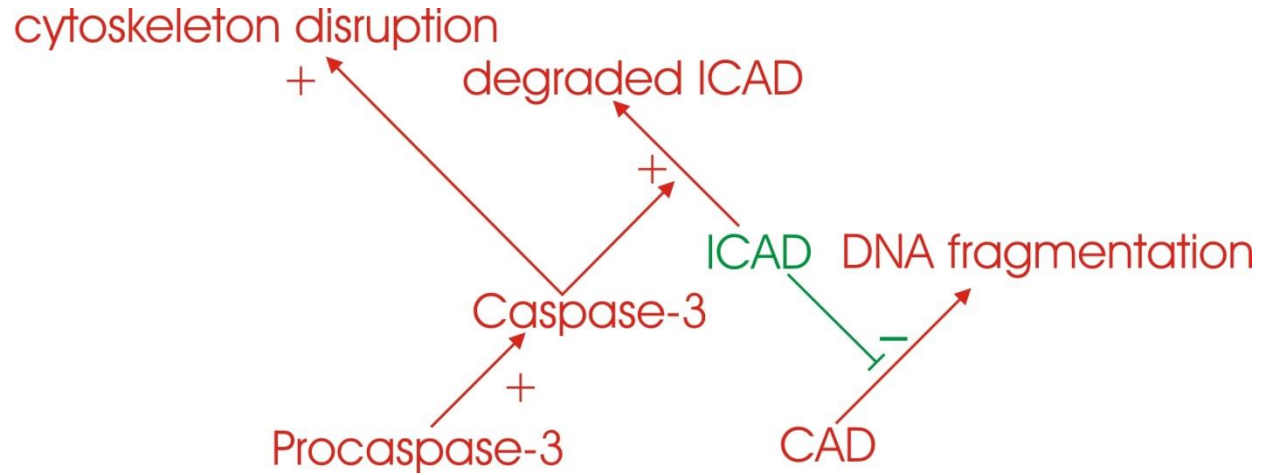


- Active caspase-9 cleaves procaspase-3 into active caspase-3. Once caspase-3 is active, cell death is inevitable.

CAD and ICAD

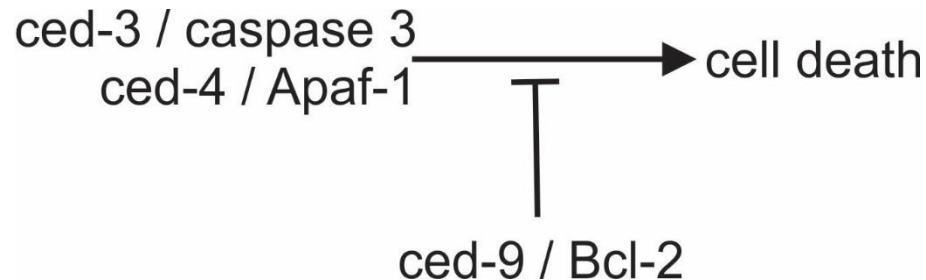
- Caspase-Activated-DNase (CAD) is normally inhibited in cells by CAD Inhibitor (ICAD).
- Caspase-3 degrades ICAD, which allows CAD to function.
- Active CAD enters the nucleus and cuts DNA into 180bp fragments.
- Mutation in the caspase-3 recognition site in ICAD prevents DNA fragmentation but not cell death. (i.e. Other targets of caspase-3 contribute to cell death.)

CAD and ICAD



Bcl-2

- Bcl-2 was first identified as a cancer causing viral gene.
- Bcl-2 is homologous to ced-9, and the Bcl-2 gene can substitute for ced-9 in worms.
(i.e. Bcl-2 rescues cells from death in ced-9 mutants.)



Bcl-2

- Misexpression of Bcl-2 in cultured sympathetic neurons rescued cells from NGF deprivation. Misexpression of Bcl-2 also prevented death of all DRG neurons cultured without neurotrophin regardless of the trk expressed.
- Not all neurons are rescued by Bcl-2 overexpression (e.g. ciliary ganglion cells).
- Misexpression of Bcl-2 in transgenic mice (with the neuron specific enolase promoter) rescued some neurons from PCD.
- Bcl-2 knockout transgenic mice did not show altered cell death in nervous system but did show substantial cell loss in other tissues (e.g. kidney & thymus).

Bcl-2 Family

- Bcl-2 is one member of a family of molecules in vertebrates (Bcl-2 family).
- Some Bcl-2 family members promote cell survival, while others promote cell death.
- Increased Bax expression increased death of facial motor neurons (and others). Bax knockouts have reduced neuron PCD, and neurons without Bax do not die following target deprivation.
- Neurons from Bax^{-/-} mouse embryos survive in culture without trophic factor, but require trophic factor to fully differentiate.
- Bax homo-dimers form pores in mitochondrial membranes. Pores allow cytochrome-C into the cytoplasm, thus leading to activation of caspase-9.

Bcl-2 Family

- Bcl-X_L is widely expressed in the nervous system (more than Bcl-2). Bcl-X_L overexpression protects many neurons from death in many situations. Bcl-X_L knockouts show massive death of postmitotic neurons.
- Family members form homo- and heterodimers. Some function as homodimers. Some inhibit the function of others by forming heterodimers.
- Cell death and cell survival depend on the relative levels of life and death promoting Bcl-2 family members.

Bcl-2 Family

- Bcl2 family members:

antiapoptotic

Bcl-2
Bcl-X_L
Bcl-W
Mcl-1
A1

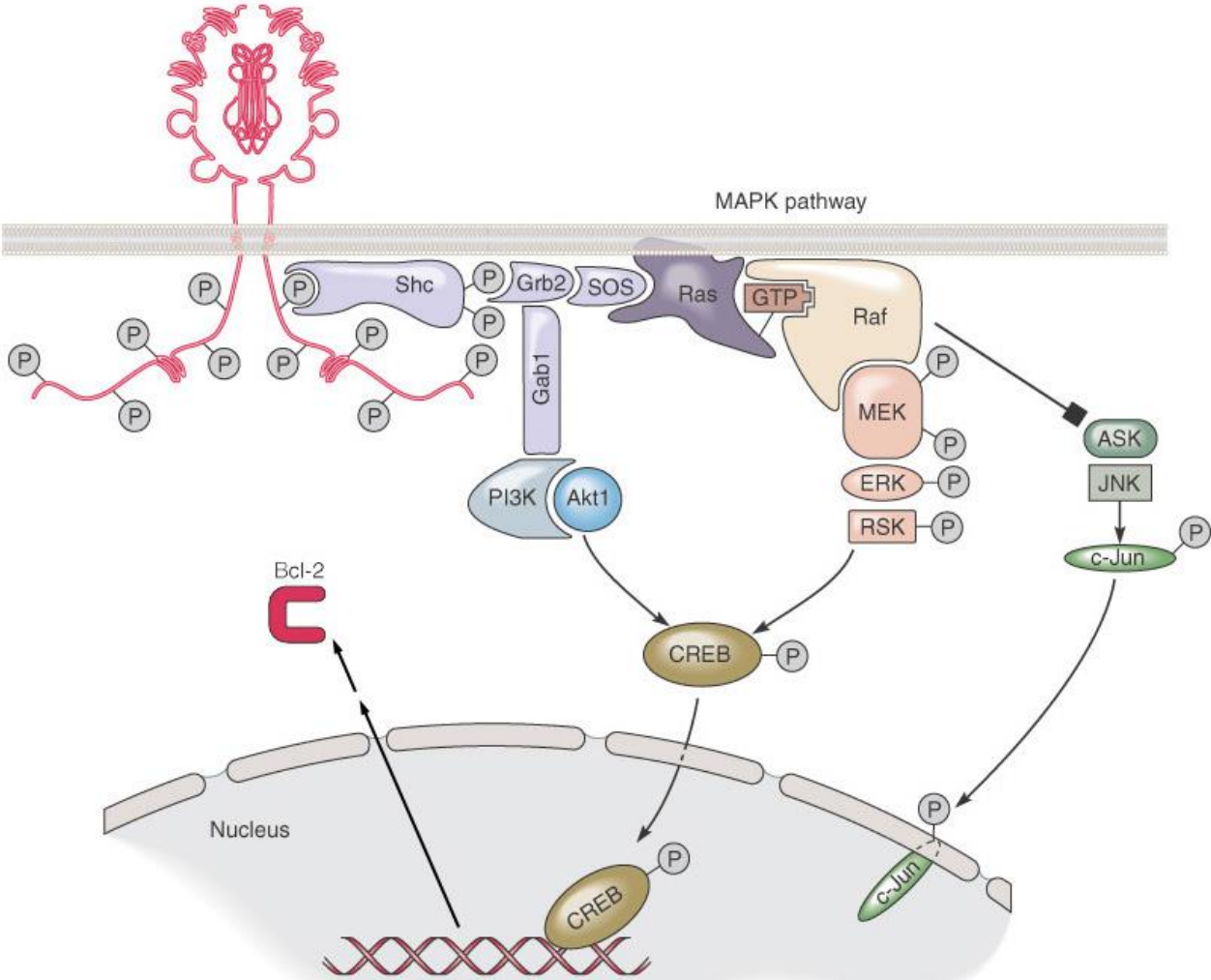
proapoptotic

Bax
Bax-like
Bik
Bad
Bid
Bak
Bok
Egl1

Mechanisms of Neurotrophin Action

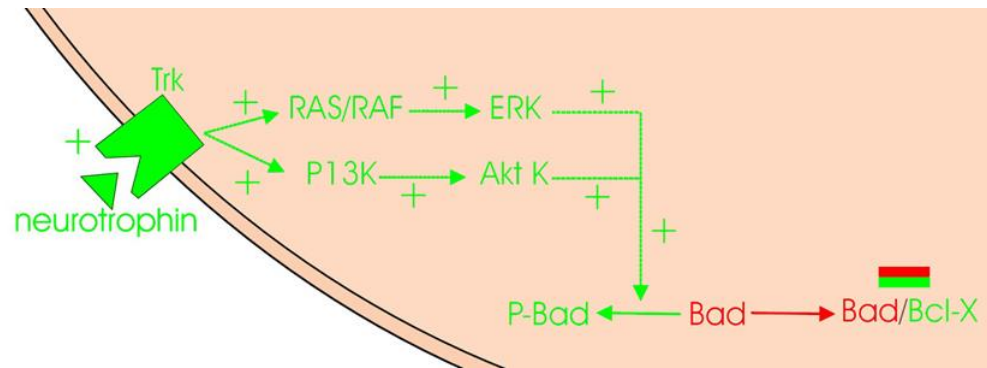
- Activation of neurotrophin receptors (Trk's) promotes cell survival.
- Trk's are tyrosine kinases, which activate several pathways.
- Phosphoinositide-3-kinase (PI3K) is activated by Trk's. PI3K activates serine-threonine protein kinase (Akt), and Akt phosphorylates CREB.
- PI3K activation can keep sympathetic neurons alive in culture in the absence of NGF.
- RAS/RAF pathway is also activated by Trk's. Activated RAF activates the MAPK pathway (MEK/ERK), which also activates CREB.
- CREB activation increases expression of antiapoptotic bcl-2 family members.

Mechanisms of Neurotrophin Action



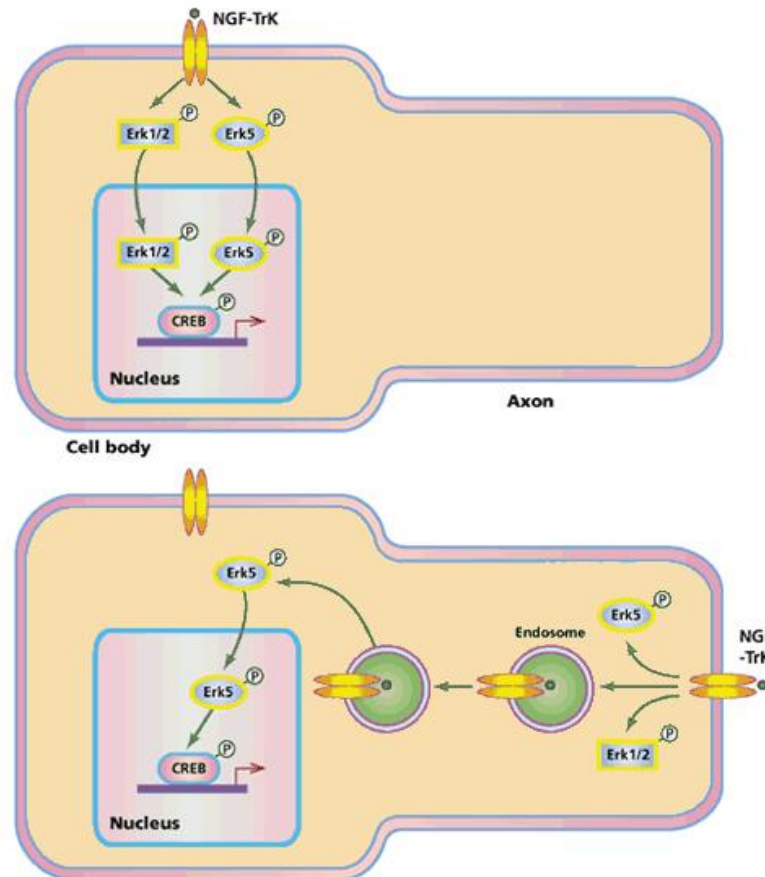
Mechanisms of Neurotrophin Action

- Bad (another Bcl-2 family member) is phosphorylated (deactivated) by Akt and ERK; dephosphorylated Bad can bind Bcl-X, which blocks Bcl-X's survival promoting effect.

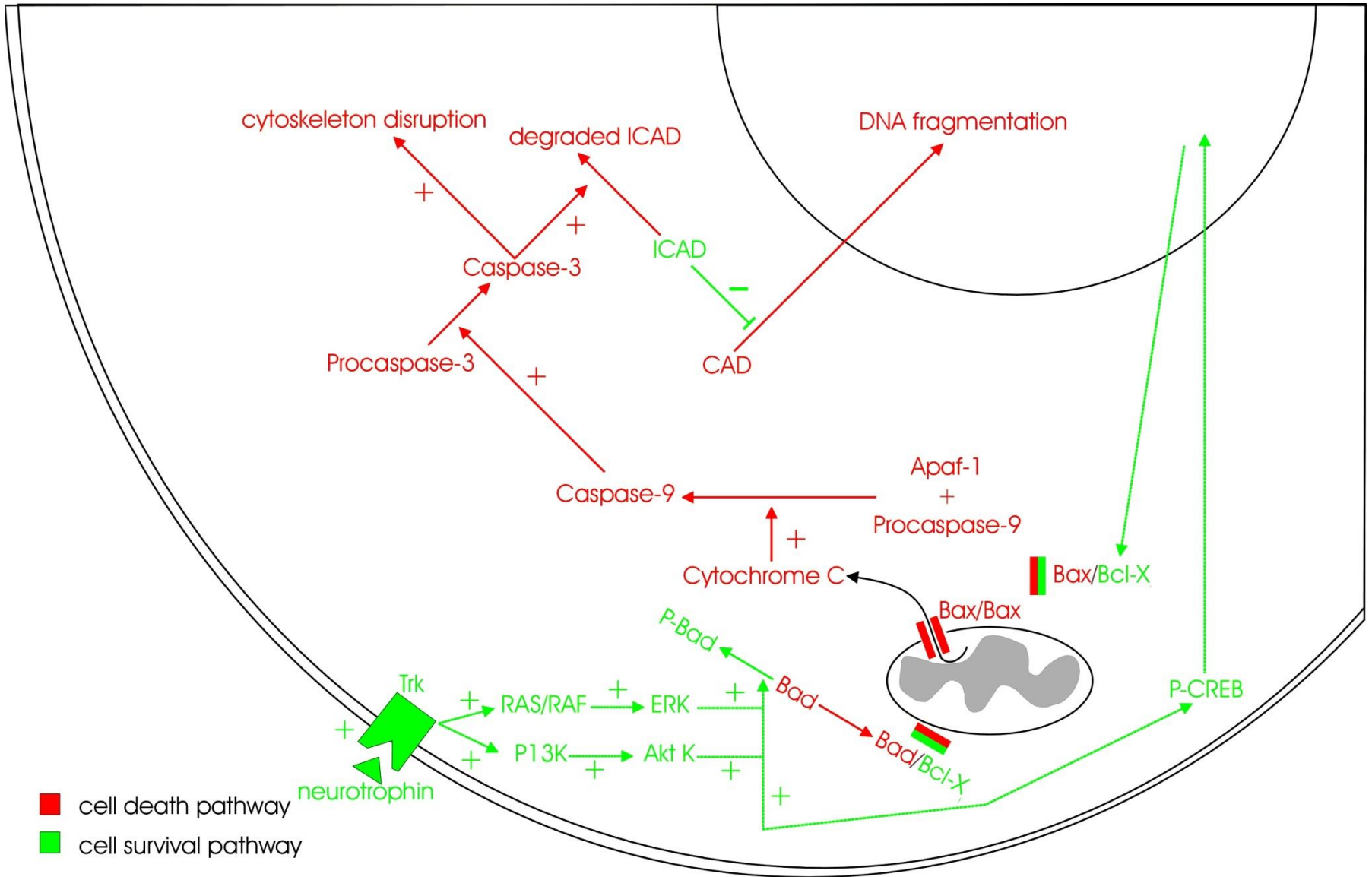


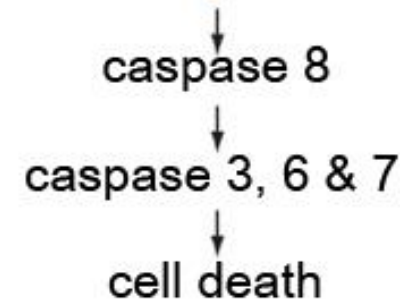
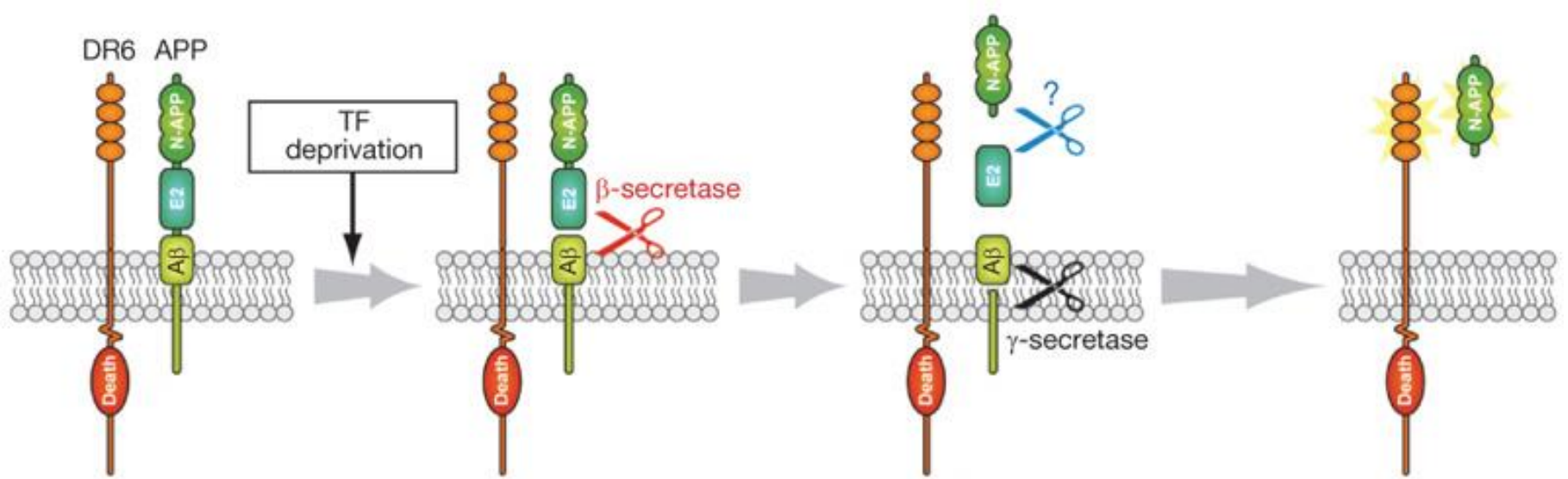
Mechanisms of Neurotrophin Action

- Anterograde and retrograde neurotrophin activate different signaling cascades, which may explain why a neuron requires presynaptic and postsynaptic connections to survive.



Life and Death Pathways





- Developing dorsal root ganglion neurons and motor neurons in vivo or in vitro die when deprived of trophic factor (NGF for certain DRG neurons; BDNF & NT3 for motor neurons).
- These neurons express the cell surface tumor-necrosis factor receptor, death receptor 6 (DR6). With the knockout of DR6, cell death is delayed for days following neurotrophin deprivation.
- DR6 is activated by a cleaved extracellular domain of amyloid- β precursor protein (APP) by secretases.
- Activated DR6 activates the caspase cascade leading to cell death.

Neurotrophins in the Adult Nervous System

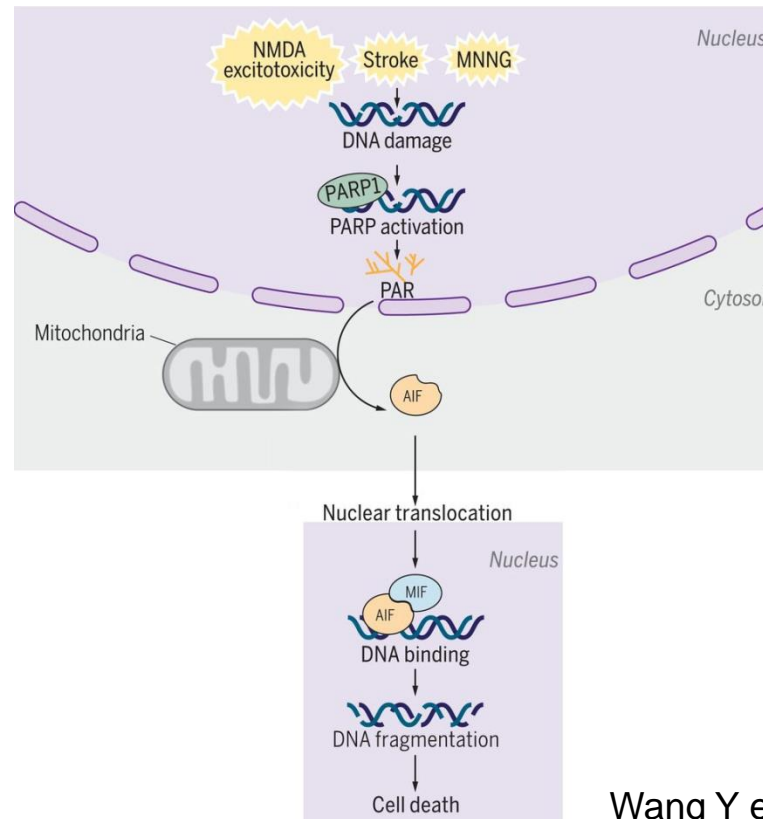
- Most neurons lose dependence on neurotrophin for survival as they mature.
- Adult neurons still express neurotrophin and neurotrophin receptors, and the intracellular signaling cascades are still activated in response to neurotrophin.
- Neurotrophins may mediate synaptic plasticity in the mature nervous system.
- Neurotrophins offer a potential therapy for rescuing neurons from neurodegenerative diseases.

Table 1. Clinical trials with neurotrophins, CNTF and IGF.

| | Disease | Type of trial | n | Application, dose | Result | Side effects |
|------------------------------|--|---|---|---|--|--|
| CNTF ^a ref. 39 | ALS | Phase 1, placebo controlled, 2 weeks | 57 patients plus 18 patients on placebo | Subcutaneous, 0.5–30 µg/kg, 3 injections per week | Safe, tolerated within acceptable limits, indications for efficacy | Fever, fatigue, cough, weight loss |
| CNTF ^b | ALS | Phase 1, placebo controlled, 4 weeks | 43 patients in treatment and placebo groups | Subcutaneous, 2–200 µg/kg daily | Safe, tolerated within acceptable limits | Fever, HSV-1 stomatitis, diarrhea, fatigue, cough, weight loss |
| CNTF ref. 40 | ALS | Phase 2–3, placebo controlled, 6 months | 570 patients | Subcutaneous, 0.5–5 µg/kg daily | No beneficial effects, increased adverse events in the 5 µg/kg group, increased deaths | Injection site reactions, cough, asthenia, nausea, anorexia, weight loss, increased salivation |
| CNTF ref. 41 | ALS | Phase 2–3, placebo controlled, 9 months | 730 patients | Subcutaneous, 15–30 µg/kg, 3 times a week | No beneficial effects | anorexia, weight loss, cough |
| CNTF ref. 42 | ALS | Phase 1, open label | 6 patients | Cell capsules, intrathecal, approximately 0.5 µg/day | Safe, motor performance did not improve | Headache, radicular pain |
| CNTF ref. 43 | ALS | Phase 1, open label, 48 h per week, 2-week cycles | 4 patients | Intrathecal delivery with pumps, 0.4–8 µg/h | Tolerable side effects | Rise in lymphocyte numbers and protein levels in CSF, headache, radicular pain |
| CNTF ref. 44 | M. Huntington | Phase 1 | 6 patients | Cell capsules implanted into the lateral ventricle | ? | ? |
| NGF ref. 45 | M. Alzheimer | Phase 1, up to 3 months | 3 patients | 0.55–6.6 mg in total, infused into the lateral cerebral ventricle | No cognitive improvement, changes in EEG, increased nicotine binding in several brain areas | Back pain, weight loss |
| NGF ref. 46 | Diabetic neuropathy | Phase 1–2, placebo controlled, 6 months | 250 patients | Subcutaneous, 0.3 µg/kg, 3 times a week | Preliminary evidence for efficacy, well tolerated | Injection site pain |
| NGF ref. 47 | Diabetic neuropathy | Phase 3, placebo controlled, double blind, 48 weeks | 505 patients NGF treated, 515 patients in placebo group | Subcutaneous 0.1 µg/kg, 3 times a week | No clinical benefit | Minor side effects, injection site pain |
| NGF ref. 48 | HIV neuropathy | Phase 2, placebo controlled, 18 weeks | 270 patients | Subcutaneous, 0.1–0.3 µg/kg, twice a week | Significant improvements in neuropathic pain | Injection site pain |
| NGF ref. 49 | HIV neuropathy | Phase 2, open label follow-up study, 48 weeks | 200 patients | Subcutaneous, 0.1–0.3 µg/kg, twice a week | Well tolerated, improvement in pain symptoms, no improvement of neuropathy severity | Injection site pain |
| BDNF ^c | ALS | Phase 1–2, 6 months | 224 patients with BDNF, 59 patients with placebo | Subcutaneous, 10–300 µg/kg, daily | Safe, tolerable, less deterioration in forced vital capacity and walking speed | Injection site reactions, bowel urgency, diarrhea |
| BDNF ref. 50 | ALS | Phase 2–3, placebo controlled, 9 months | 748 patients with BDNF, 387 patients with placebo | Subcutaneous, 25–100 µg/kg | No significant effect, subgroup of patients with early respiratory impairment and those developing altered bowel function showed statistically significant benefit | Injection site reactions, diarrhea, bowel urgency, generally mild or moderate |
| BDNF ref. 51 | ALS | Phase 1–2, placebo controlled, double blind, 12 weeks | 25 patients | Intrathecal, continuous pump delivery, 25–1000 µg/day | Well tolerated at 150 µg/day or lower | Paraesthesias, sleep disturbance, dry mouth, agitation at higher doses |
| BDNF unpublished | ALS | Phase 2–3, placebo controlled, double blind | 250 patients | Intrathecal | No clinical benefit | Paraesthesias, sleep disturbance |
| BDNF ref. 52 | Diabetic neuropathy | Phase 1–2, placebo controlled, double blind, 3 months | 21 patients with BDNF treatment, 9 patients with placebo | Subcutaneous, daily, 100 µg/kg | No measureable beneficial effect, safe, tolerable | Non painful injection-site reactions |
| NT-3 ref. 53 | Healthy subjects, diabetic neuropathy, chemotherapy-induced neuropathy | Phase 1, placebo controlled, double blind, 7 days | 49 healthy subjects treated with NT-3 and 21 with placebo, no published report on patient studies | Subcutaneous, daily, 3–500 µg/kg/day | Tolerable side effects, patient studies discontinued in 1997 | Diarrhea, injection site pain, rise in SGOT and SGPT |
| IGF-I ref. 54 | ALS | Phase 2–3, placebo controlled, double blind, 9 months | 176 patients with IGF-I, 90 patients with placebo | Subcutaneous, 50 or 100 µg/kg/day | Trend to functional improvement | Injection site pain, no major side effects |
| IGF-I ref. 55 | ALS | Phase 2–3, placebo controlled, double blind, 9 months | 124 patients with IGF-I, 59 patients with placebo | Subcutaneous, 100 µg/kg/day | No significant clinical improvement | Injection site pain |

Necrosis

- Stroke, excitotoxicity or oxidative stress activates a caspase-independent enzyme cascade that results in DNA fragmentation and cell death.



Wang Y et al. (2016) Science 354:82